## Comparison of Quantization Schemes

We now apply the results of the analysis of  $R_0$  to the evaluation of certain interesting quantization schemes. As usual, we assume that the transmission is corrupted by additive white Gaussian noise.

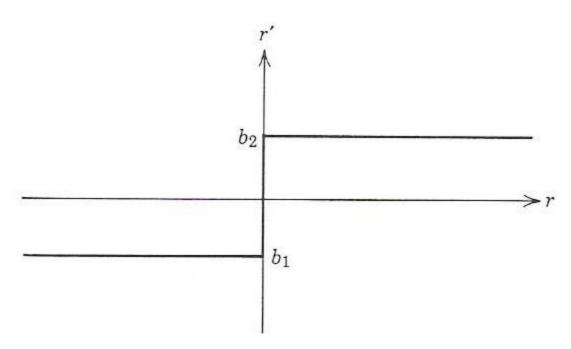


Figure 6.19 Quantizer for binary symmetric channel; A = 2, Q = 2.

Binary input, binary output. In the first case that we consider the transmitter alphabet consists of only two allowable input amplitudes,

$$a_1 = +\sqrt{E_N}$$

$$a_2 = -\sqrt{E_N}.$$
(6.68)

The matched filter output at the receiver is also quantized into two levels, as shown in Fig. 6.19. Thus A=2, Q=2, and the overall channel diagram is that of Fig. 6.20, in which

$$q_{12} = q_{21} \stackrel{\Delta}{=} p \tag{6.69a}$$

$$q_{11} = q_{22} = 1 - p \tag{6.69b}$$

and

$$p = Q(\sqrt{2E_{\rm N}/N_0}).$$
 (6.69c)

The transition diagram is that of a binary symmetric channel (BSC). Because of the symmetry of this channel, the probability

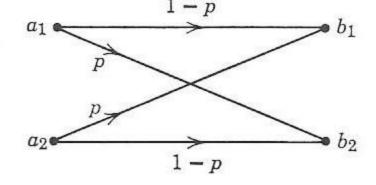


Figure 6.20 Transition diagram for binary symmetric channel.

symmetric channel (BSC). Because of the symmetry of this channel, the probability assignment  $p_1 = p_2 = \frac{1}{2}$  is optimum. From Eq. 6.62b we then have

$$R_{0}' = -\log_{2} \sum_{h=1}^{2} \left[ \sum_{l=1}^{2} p_{l} \sqrt{q_{lh}} \right]^{2}$$

$$= -\log_{2} \left[ \left( \frac{1}{2} \sqrt{p} + \frac{1}{2} \sqrt{1 - p} \right)^{2} + \left( \frac{1}{2} \sqrt{p} + \frac{1}{2} \sqrt{1 - p} \right)^{2} \right]$$

$$= 1 - \log_{2} \left[ 1 + 2 \sqrt{p(1 - p)} \right]. \tag{6.70}$$

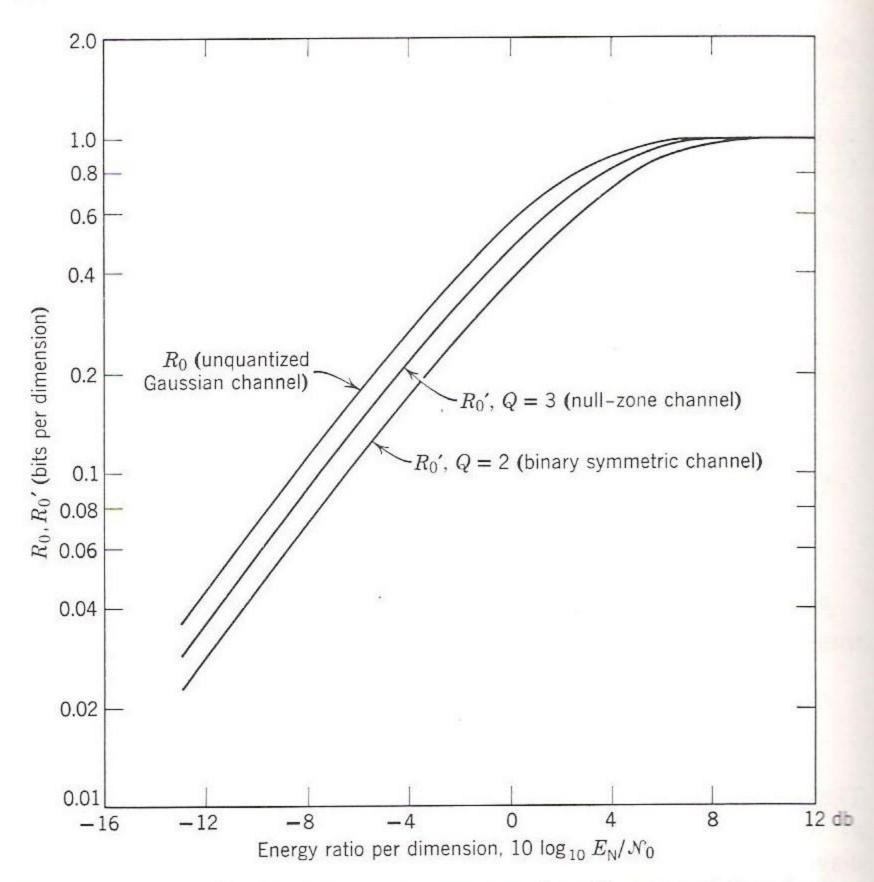


Figure 6.21  $R_0$  and  $R_0$ ' for binary antipodal signaling with two- and three-level symmetric quantization.

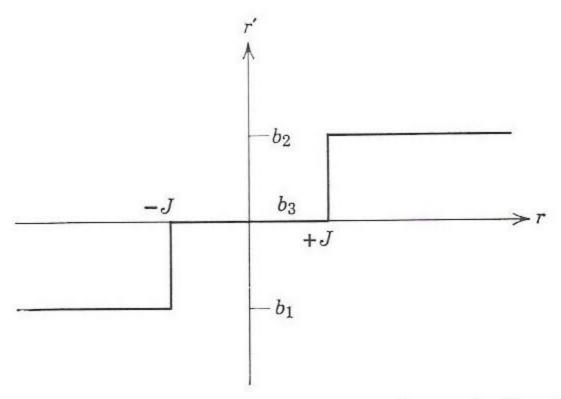


Figure 6.22 Quantizer for null-zone channel, A = 2, Q = 3.

The value of  $R_0'$  from Eq. 6.70 is plotted in Fig. 6.21 as a function of  $E_N/\mathcal{N}_0$ , together with the unquantized  $R_0$  given by Eq. 6.67. We observe that the quantization loss is approximately -2 db. More precisely, in the limit  $E_N/\mathcal{N}_0 \to 0$  (hence  $p \to \frac{1}{2}$ ) it can be shown that the loss in decibels is exactly  $10 \log_{10} (2/\pi)$ .

Binary input, ternary output. A significant fraction of the degradation

in  $R_0$ ' resulting from binary quantization can be avoided by going to a ternary output. For A=2, Q=3 the appropriate quantizer is that shown in Fig. 6.22 and the resulting over-all channel diagram is that of Fig. 6.23. We have

$$q_{12} = q_{21} \stackrel{\Delta}{=} p \tag{6.71a}$$

$$q_{13} = q_{23} \stackrel{\Delta}{=} w \tag{6.71b}$$

and

$$q_{11} = q_{22} = 1 - p - w, \quad (6.71c)$$

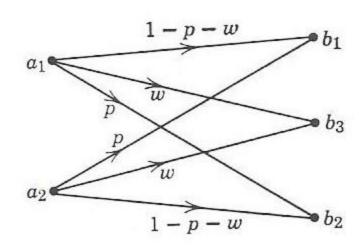


Figure 6.23 Transition probability diagram for null-zone channel.

where p and w are given in terms of the quantizer threshold J by the equations

$$p = \int_{J}^{\infty} \frac{1}{\sqrt{\pi \mathcal{N}_{0}}} e^{-(y+\sqrt{E_{N}})^{2}/\mathcal{N}_{0}} dy$$
 (6.72a)

$$w = \int_{-J}^{J} \frac{1}{\sqrt{\pi N_0}} e^{-(y+\sqrt{E_N})^2/N_0} dy.$$
 (6.72b)

Such a channel is called either a null-zone channel or a binary symmetric erasure channel (abbreviated BSEC). By symmetry we again choose  $p_1 = p_2 = \frac{1}{2}$ . Then, from Eq. 6.62b, we have

$$R_{0}' = -\log_{2} \sum_{h=1}^{3} \left[ \sum_{l=1}^{2} p_{l} \sqrt{q_{lh}} \right]^{2}$$

$$= -\log_{2} \left[ \left( \frac{1}{2} \sqrt{1 - p - w} + \frac{1}{2} \sqrt{p} \right)^{2} + \left( \frac{1}{2} \sqrt{w} + \frac{1}{2} \sqrt{w} \right)^{2} + \left( \frac{1}{2} \sqrt{1 - p - w} + \frac{1}{2} \sqrt{p} \right) \right]^{2}$$

$$= 1 - \log_{2} \left[ 1 + w + 2 \sqrt{p(1 - p - w)} \right]. \tag{6.73}$$

The value of  $R_0'$  given by Eq. 6.73 is a function of the quantizer threshold value, J. The optimum value of J (the value that maximizes  $R_0'$ ) can be found as a function of  $E_N/\mathcal{N}_0$  by trial and error; it is plotted in Fig. 6.24. The value of  $R_0'$  resulting from Eq. 6.73 when J is optimum is plotted as a function of  $E_N/\mathcal{N}_0$  in Fig. 6.21. We observe that the degradation from the unquantized case is roughly 1 db and conclude that

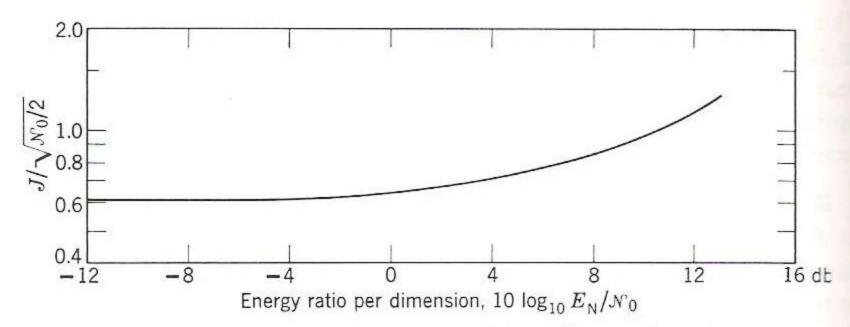


Figure 6.24 Optimum threshold for null-zone channel.

little improvement can be gained by quantizing to more than three levels when  $E_{\rm N}/\mathcal{N}_0$  is sufficiently small that signaling with sequences of binary waveforms is efficient. Note in Fig. 6.24 that  $J=0.65\sqrt{\mathcal{N}_0/2}$  is near optimum over this interesting range of  $E_{\rm N}/\mathcal{N}_0$ .

Multiamplitude inputs. Quantization at the receiver also implies a degraded  $R_0$ ' for systems that employ a multiamplitude modulator to exploit a high energy-to-noise ratio per dimension. For a given input alphabet  $\{a_i\}$  and a given quantization grid the first step in evaluating the degradation is to determine the transition probabilities  $\{q_{ih}\}$  in accordance with Fig. 6.16. The second step is to substitute these  $\{q_{ih}\}$ , together with an appropriate choice of letter probabilities  $\{p_i\}$ , into the expression

$$R_{0}' = -\log_{2} \sum_{h=1}^{Q} \left[ \sum_{l=1}^{A} p_{l} \sqrt{q_{lh}} \right]^{2}.$$
 (6.74)

We now apply these results to a particular ensemble of systems operating over an additive white Gaussian noise channel. Each system utilizes a modulator with transmitter letters  $\{a_i\}$  equally spaced over the interval  $[-\sqrt{E_N}, +\sqrt{E_N}]$  and a receiver with a uniform quantization grid similar to that shown in Fig. 6.25 for A=6; the number, Q, of quantizer output levels is equal to the number, A, of transmitter letters.

Curves of  $R_0'$  as a function of  $E_N/\mathcal{N}_0$  for Q=A=2, 3, 4, 8, 16, 32, and 64, calculated on a computer, are plotted in Fig. 6.26. In each case the letter probabilities  $\{p_i\}$  have been set equal to 1/A. For reference, the

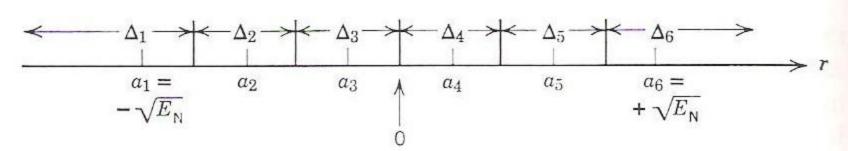


Figure 6.25 Uniform quantization, Q = A.