

Biometric Authentication and Stationary Detection of Human Subjects  
by Deep Learning of Passive Infrared (PIR) Sensor Data

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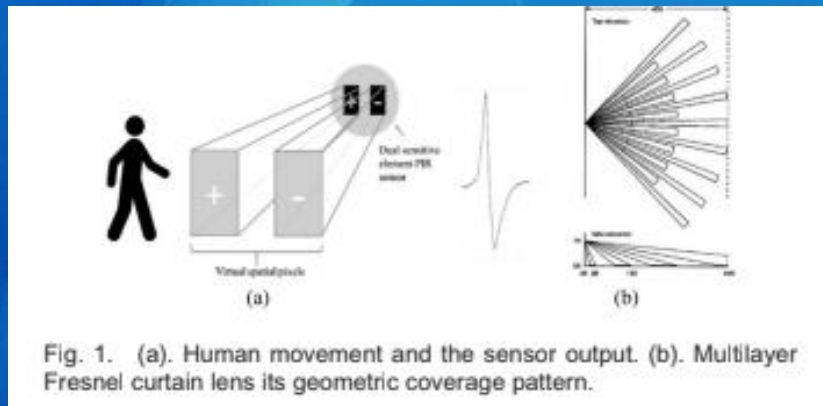
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## Passive Infrared (PIR) Sensors

- COTS component commonly deployed in security and energy management applications
- PIR sensors detect the change in IR in its FoV
- Voltage output affected by ambient conditions
- Existing drawbacks include the inability to detect stationary occupants



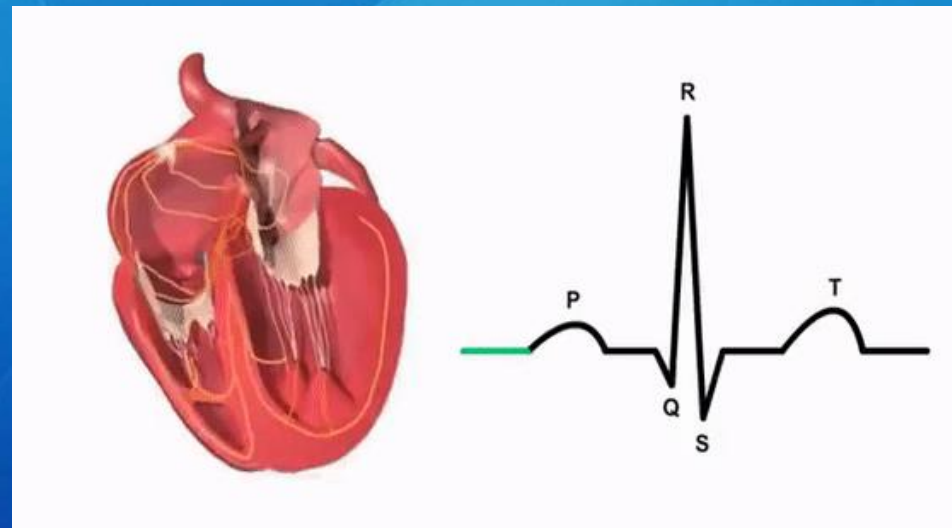
H. Gami. (2017).



Panasonic AMN24112 Analog PIR Sensor

## Heart Based Authentication & Identification

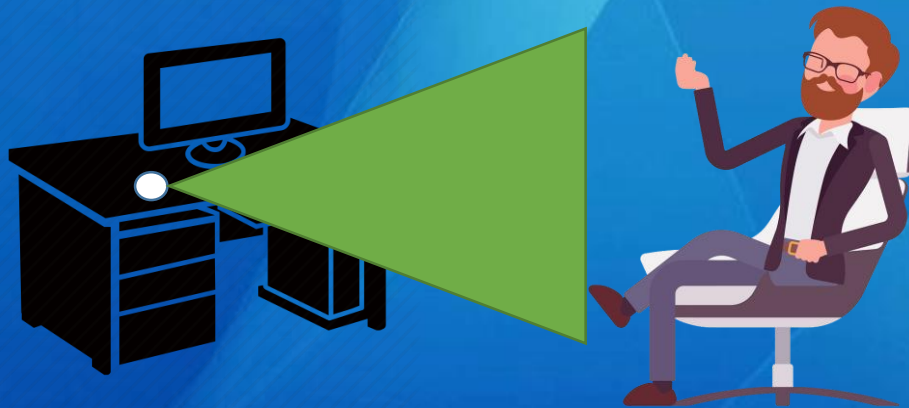
- Numerous techniques for authentication and identification based on heart signals
- Every individual has a unique heartbeat
- Based on size and shape of heart, opening and closing of valves
- QRS complex of ECG provides uniqueness in identification methods



## Research Motivation

### Stationary Human Detection

- Detecting subjects at desk location



### Biometric Authentication

- Authenticating one individual for computer security

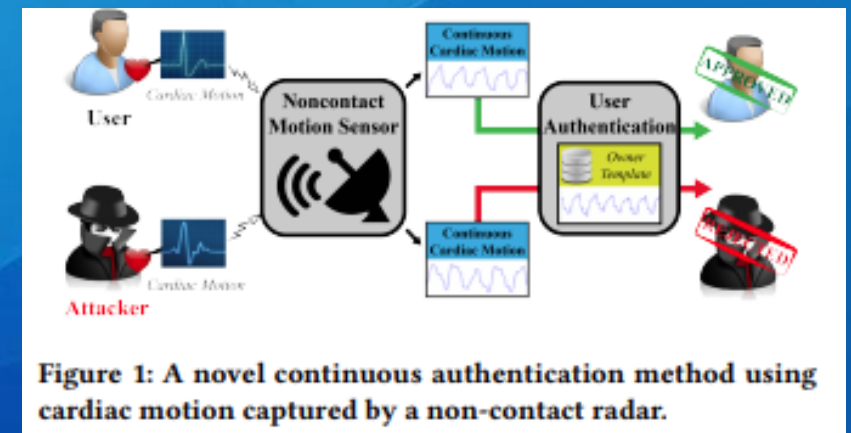


## Related Work

- Stationary Human Detection with PIR Sensors
  - Optical shutter
  - *MI-PIR*
- Biometric Authentication
  - Wearable device authentication based on activity
  - Finger nail plate authentication
  - ECG authentication and identification
  - *Cardiac Scan*



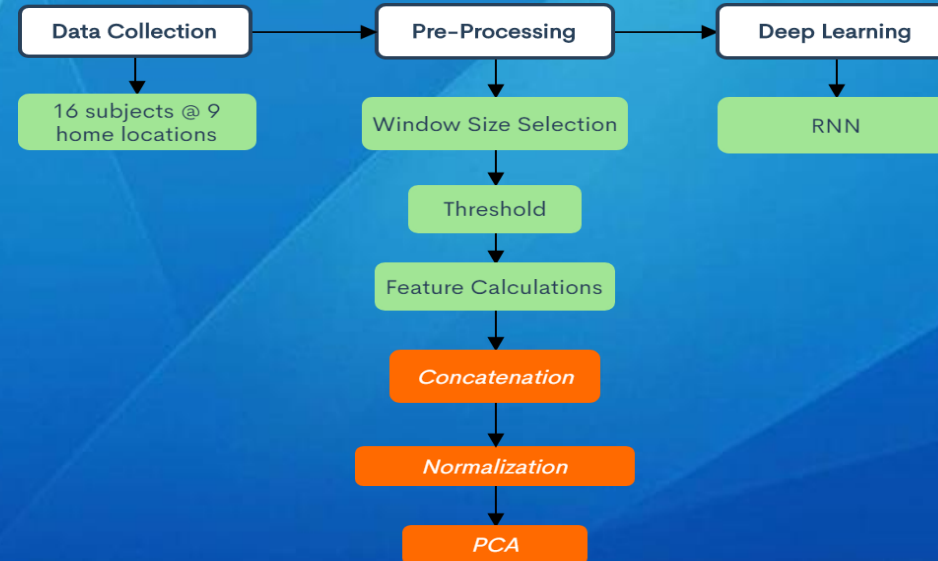
J. Andrews, M. Kowsika, A. Vakil, and J.Li. (2020).



F. Lin, C. Song, Y. Zhuang, W. Xu, C. Li, and K. Ren. (2017).

## Proposed Solution

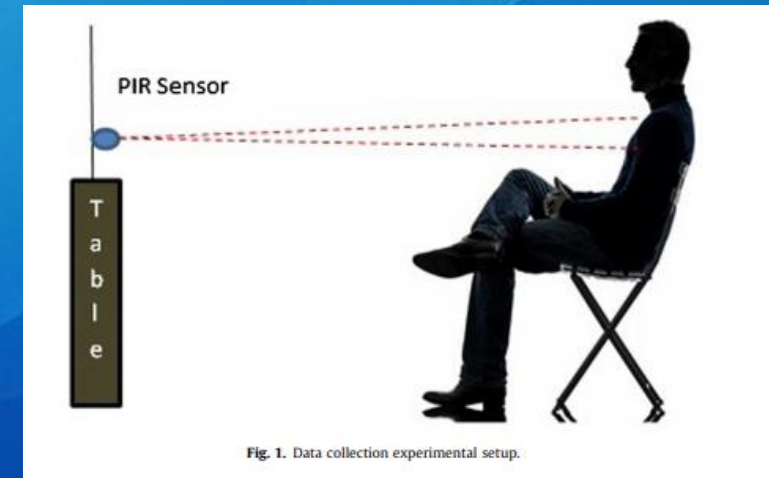
- CM-PIR
  - A stationary detection and biometric authentication system based off of chest motion collected with a PIR sensor
  - Deep learning to learn the differences between human presence and background and between verified user and all other adversaries



CM-PIR flowchart for stationary presence and biometric authentication (orange).

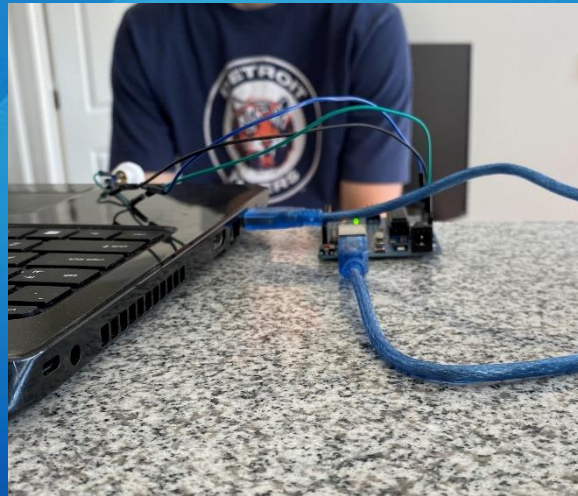
## Chest Motion Monitoring via PIR

- “Resting heart rate estimation using PIR sensors”
  - 10 Hz sampling rate, 1 m data collection
  - Subjects remain motionless during collection
  - Extracted heart rate signal from a PIR sensor with an acceleration filter
    - $g'_2 = [1 \ 4 \ 4 \ -4 \ -10 \ -4 \ 4 \ 4 \ 1]$

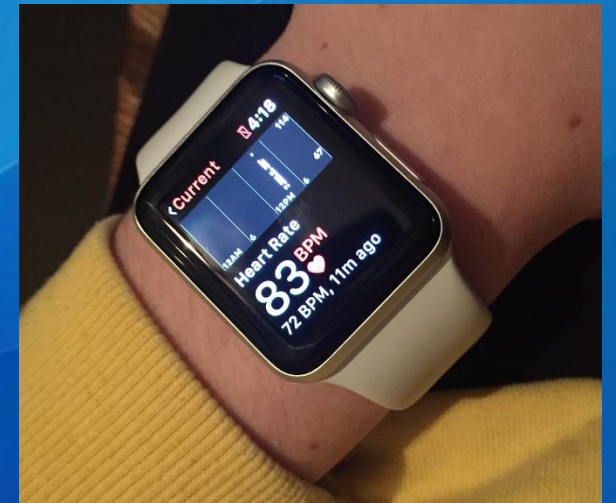


## CM-PIR: Data Collection

- Verified resting heart rate estimation with Apple Watch Series 3 heart rate sensor
- Followed previous paper in data collection methods
- Collected data from 16 subjects at nine different home locations
- Demographics:
  - 15 to 60 years old
  - 6 females, 10 males
  - Family relationships



Data collection setup.

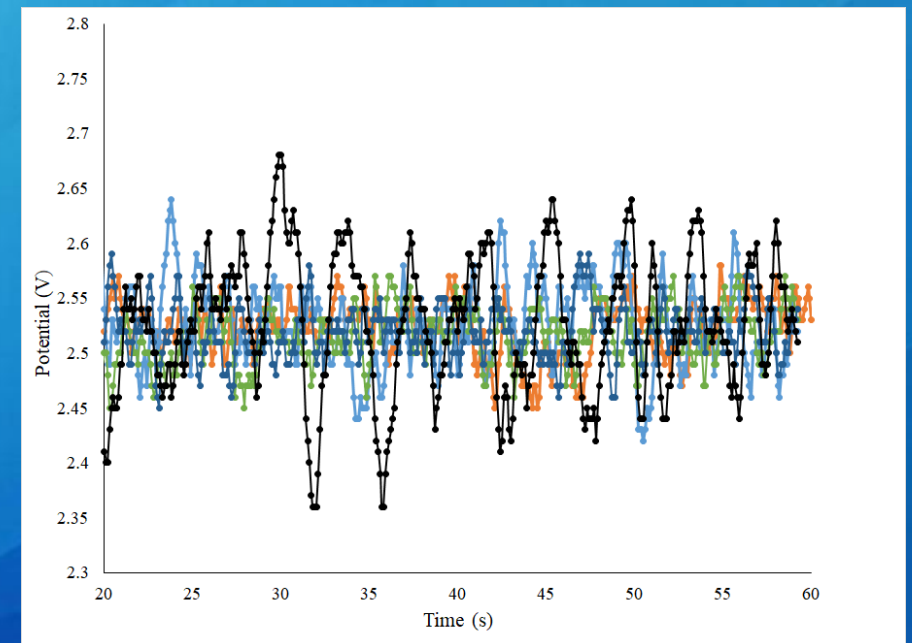


Verification of acceleration filter  
with Apple Watch Series 3.



## Data Pre-Processing

- Optimal window size selection of 90 seconds
- Threshold of 1.5 V to 3.5 V to ensure collected motion is due to chest motion only
- Feature calculations
  - FFT
  - DWT
  - Acceleration filter
- Biometric authentication
  - Concatenation of calculated features
  - min\_max normalization of features
  - PCA to reduce dimensionality



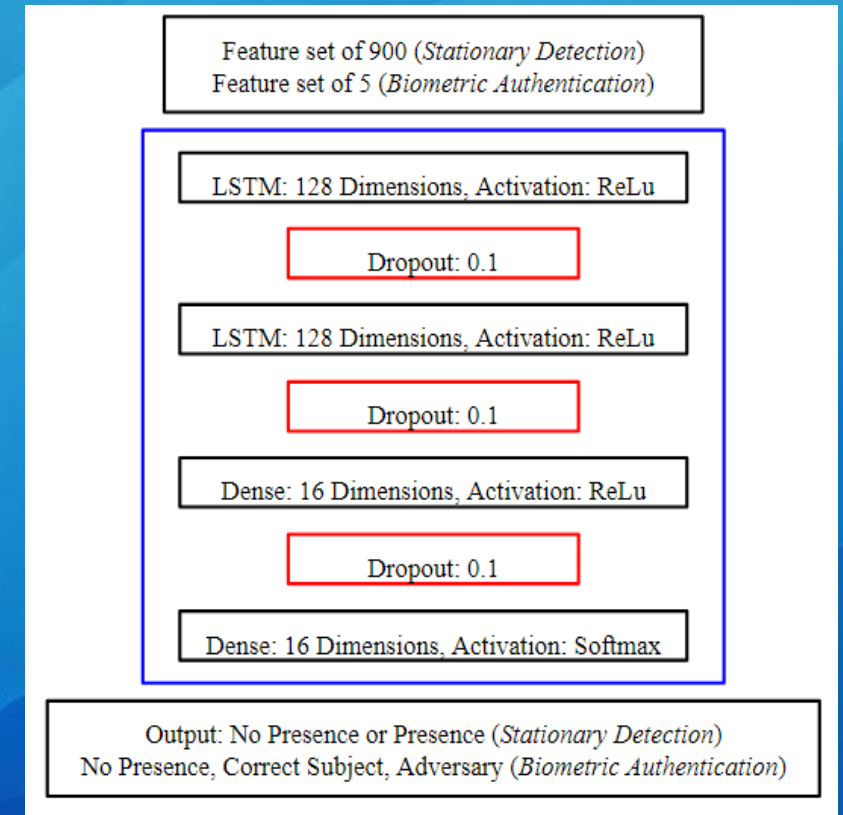
Raw voltage from PIR sensor.

Table 1. Data distribution and labels for each scenario with pre-processing and a 90 second window size.

Category	Data Collected (Samples)	Data Used (Samples)	Real Labels (Integer Labels)	Location
<b>Stationary Detection</b>	123	122	No Presence (0)	A-D
	443	295	Presence (1)	A-I
<b>Biometric Authentication</b>	123	122	No Presence (0)	A-D
	219	133	Subject A (1)	A-D
	224	162	Adversaries (2)	B, D-I
<b>Individual Subject Distribution</b>	123	122	No Presence (0)	A - D
	219	133	Subject A (1)	A - D
	40	34	Subject B (2)	A - C
	19	19	Subject C (3)	B
	35	32	Subject D (4)	B
	18	14	Subject E (5)	E
	9	7	Subject F (6)	E
	11	11	Subject G (7)	B
	6	0	Subject H (8)	F
	14	0	Subject I (9)	G
	13	11	Subject J (10)	G
	12	6	Subject K (11)	B
	7	0	Subject L (12)	H
	12	0	Subject M (13)	I
	13	11	Subject N (14)	F
	12	7	Subject O (15)	F
13	10	Subject P (16)	D	

## Deep Learning

- RNN deep learning model proposed
- LSTMs added for vanishing gradient issue
- Dropout layers to help with overfitting
- Built with Keras
- 125 epochs used
- 291 samples for training and 63 samples for testing



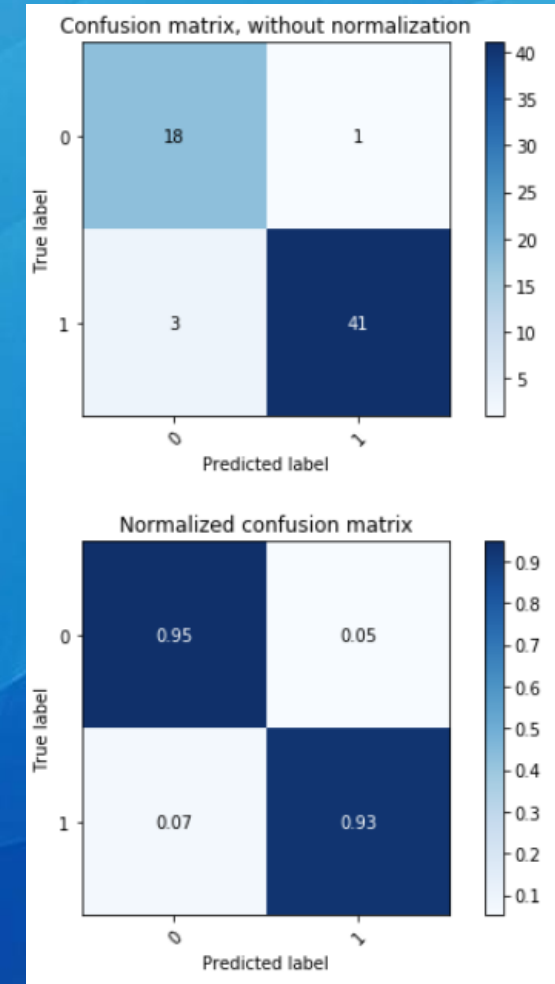
RNN architecture for stationary human presence detection and biometric authentication

## Results: Stationary Detection

- Absolute value of FFT (signal power) as input
- 94% accuracy

	Precision	Recall	F1 Score	Support
<b>0</b>	0.86	0.95	0.90	19
<b>1</b>	0.98	0.93	0.95	44
<b>Accuracy</b>			0.94	63
<b>Macro Avg.</b>	0.92	0.94	0.93	63
<b>Weighted Avg.</b>	0.94	0.94	0.94	63

Precision, Recall, F1 Score, and Accuracy for stationary human presence.



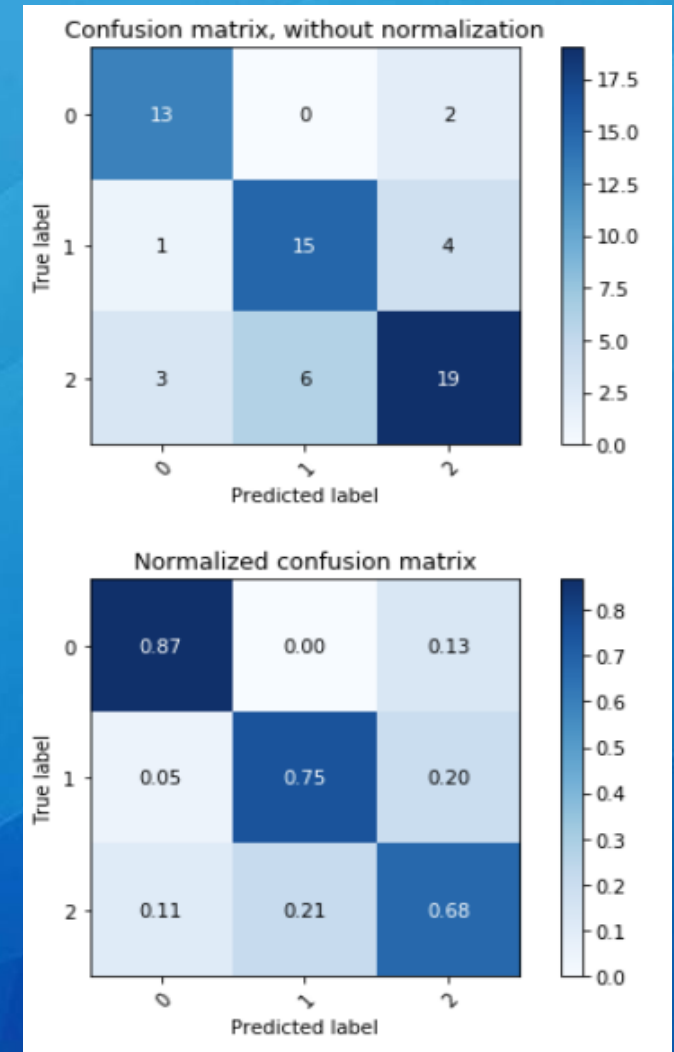
Confusion matrix for stationary human presence classification.

# Results: Biometric Authentication

- Concatenated PCA feature input
- 75% accuracy

	Precision	Recall	F1 Score	Support
<b>0</b>	0.76	0.87	0.81	15
<b>1</b>	0.71	0.75	0.73	20
<b>2</b>	0.76	0.68	0.72	28
<b>Accuracy</b>			0.75	63
<b>Macro Avg.</b>	0.75	0.77	0.75	63
<b>Weighted Avg.</b>	0.75	0.75	0.74	63

Precision, Recall, F1 Score, and Accuracy for biometric authentication.

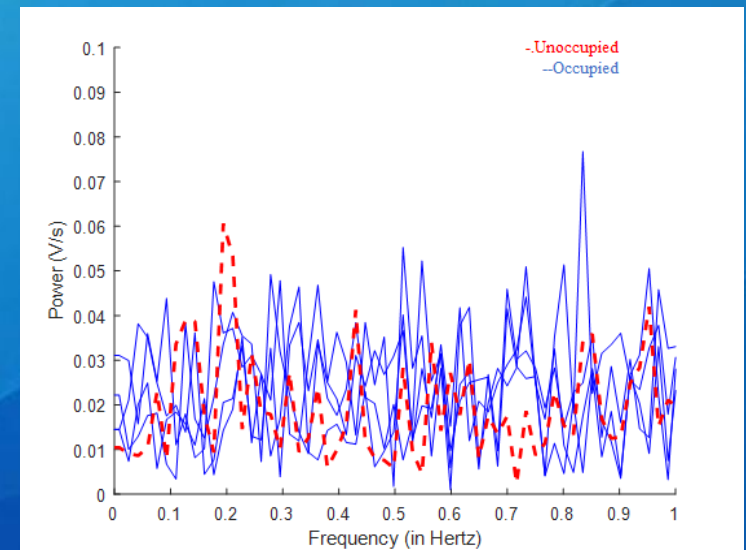


Confusion matrix for biometric authentication classification.

## Discussion: Stationary Detection

- Signal power of the chest motion proved an accurate indicator of human presence
- Multiple locations in dataset provided various ambient environments to learn from
- Simple design for stationary human presence detection
- Centralized location would increase accuracy
  - Current dataset is more robust

Reference	Proposed Solution	Classification	Accuracy
[6]	Motion-Induced	Occupancy – ANN	99%
[7]	Optical Shutter	Presence - Voltage	100%
[9]	Optical Shutter	Presence - Voltage	100%
<b>CM-PIR</b>	Chest Motion	Presence - RNN	<b>94%</b>



FFT of an unoccupied scenario (red) versus four different occupied scenarios (blue).

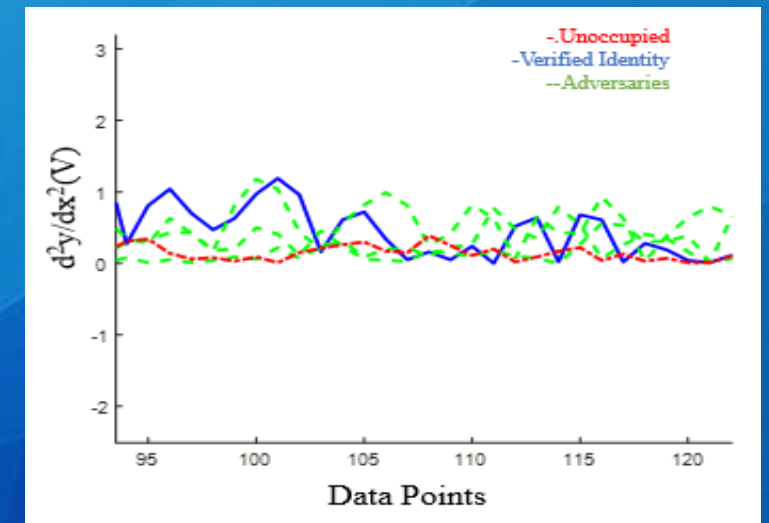
Stationary human presence detection comparison with existing proposed solutions.

## Discussion: Biometric Authentication

- Simple, passive, unobtrusive method for biometric authentication
- PCA helped with overfitting due to limited dataset
- Learning between individuals and various ambient conditions
- Increasing data collection would initially enhance accuracy

Reference	Proposed Solution	Subjects - Locations	Accuracy
[5]	Doppler Scanner	78 - 1	98.61%
CM-PIR	PIR	12 - 7	75%

Biometric authentication comparison between existing literature and CM-PIR.



Modeled heartbeat of an unoccupied scenario (red), the verified user (blue), and the adversaries (green).

## Summary

- Novel technique for stationary human presence detection
- Novel technique to authenticate individuals based on cardiac motion
- Both classifications based on data collected passively and unobtrusively with a PIR sensor
- Both classifications using RNN deep learning model with LSTM units
- 94% accuracy for stationary human presence detection
- 75% accuracy for biometric authentication



# Future Work

- Increase accuracy results of biometric authentication
  - Systematic data collection and validation
  - Greater data collection
  - Segmentation of heartbeats
  - Sensor fusion with RF/WiFi modalities

## Funding

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